

**Grantee: DuPage County , IL**

**Grant: B-13-US-17-0002**

**October 1, 2015 thru December 31, 2015 Performance**

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**Grant Number:**

B-13-US-17-0002

**Obligation Date:****Award Date:****Grantee Name:**

DuPage County , IL

**Contract End Date:****Review by HUD:**

Submitted - Await for Review

**Grant Award Amount:**

\$31,526,000.00

**Grant Status:**

Active

**QPR Contact:**

No QPR Contact Found

**LOCCS Authorized Amount:**

\$10,815,000.00

**Estimated PI/RL Funds:****Total Budget:**

\$31,526,000.00

**Disasters:****Declaration Number**

No Disasters Found

**Narratives****Disaster Damage:**

DuPage County, Illinois is required to publish an Action Plan for the purpose of receiving a Community Development Block Grant-Disaster Recovery Grant (CDBG-DR) in the amount of \$7,000,000 in accordance with the Disaster Relief Appropriations Act, 2013 (Public Law 113-2). This grant is being made available through the U.S. Department of Housing and Urban Development (HUD) to assist disaster recovery efforts in response to declared major disasters occurring in DuPage County in 2013. These funds can be used only for specific disaster recovery-related purposes. The HUD guidance for the funding was published December 16, 2013 in the Federal Register as Docket No. FR-5696-N-07 (the Notice) with an effective date of December 23, 2013. At least 50% of the funds must be expended under the low-mod national objective. The national objective will be identified at the activity level.

In April of 2013, DuPage County, IL experienced wide-spread flooding. A needs assessment was conducted to determine the impact of the disaster on three core aspects of the community: housing, infrastructure and economic development. By far, the greatest damage was sustained in the housing segment. More than 7,000 residential units reported flood damage, as documented through municipal reporting. A shelter was set up at Benedictine University to handle residents facing evacuation. For many residents, this flooding was a repetitive flood event.

Many municipalities reported flooding of roads and temporary failure of infrastructure (storm drainage and sanitary systems). Four municipalities sustained damage that was beyond temporary.

Only temporary business closures were sustained and there has been no indication of unmet needs for recovery of the economic sector.

Please visit [www.dupageco.org](http://www.dupageco.org) and follow the quick link for CDBG Disaster Recovery for complete information on this grant.

**Recovery Needs:**

A needs assessment to understand the type and location of community needs was conducted so that limited resources can be targeted to areas with the greatest need. Three core aspects of recovery were evaluated: housing, infrastructure and economic development. The needs assessment was conducted through: review of Disaster Impact Assessment Forms submitted to the Illinois Emergency Management Agency and follow-up; surveying providers of affordable housing on the mailing list of the DuPage County Community Development Commission; surveying members of the DuPage County Continuum of Care; consultation with the Red Cross; discussion with the Community Development Commission; and consultation with the DuPage County Office of Emergency Management.

Housing needs: Information on the following types of housing was obtained:

Emergency shelters

Interim and Permanent

Owner and Rental

Single family and multi-family

Public Housing; DuPage County has no public housing.

HUD-assisted

Affordable

Market rate

Providers of specific emergency shelters, interim housing, permanent supportive housing, affordable rental housing and HUD-assisted housing reported minimal impact from the flooding event and no indication of any impediment to recovery or unmet need. DuPage County has no public housing.

**Housing needs:** Strategic Buy-Out Program. In many neighborhoods, there is little that can be done as a permanent solution to stop the constant flooding. DuPage County Stormwater has been managing a buy-out program since 1991. More than 100 homes have been bought out through the program. It is a voluntary program in which structures not protected by capital improvements projects in approved watershed plans may be eligible for buyout by DuPage County if the structure is subject to flooding depth of one foot above the low entry elevation for any one historic event, subject to a flooding depth of one-half foot for any two historic flood events, or a FEMA repetitive loss structure. The County has identified more than 223 homes that meet the criteria for buyout. In 2011, FEMA reported an additional 87 repetitive loss structures in DuPage County. This grant will provide funding to buy-out additional houses that were impacted in this event.

**Rehabilitation/Mold Remediation.** One lesson learned from our administration of the Illinois Disaster Assistance Program (IDAP) in 2010 was the length of time the effects of a flooding event can linger, especially for low-income and elderly households that may not have the resources and/or physical ability to deal with the aftermath. The IDAP funds were received nearly two years after the flooding event to which they were connected, yet many residents still had unmet need for mold remediation and/or repair. This grant will provide assistance to approximately 60 households.

**Infrastructure:**

DuPage County has six watershed planning areas: Salt Creek, East Branch DuPage River, West Branch DuPage River, all Creek, Des Plaines River Tributaries and Fox River Tributaries. These watershed plans are used to identify potential improvement projects to alleviate cflow

## **Recovery Needs:**

uality problems; index significant natural areas, storage areas and wetlands; and revise floodplain maps. These areas have documented flood damages and water impairments requiring capital measures to address the flooding and water quality issues. Regional stormwater management projects are considered for County funding and implementation if a problem area meets the regional criteria—meaning the source or the solution to flooding involves multiple jurisdictions. Watershed models are used to analyze possible alternatives, which are then presented to the Stormwater Management Committee and County Board in the form of a watershed plan or flood control plan. The plans include alternative solutions addressing all reported and projected flood damages, including capital improvements, voluntary buyouts, erosion control, water quality enhancements and flood proofing.

DuPage County proposes to allocate funding to one of the identified infrastructure needs, which is the Klein Creek Watershed Flood Control Plan/Armstrong Park Facility. This area has been repeatedly affected by flooding, DuPage County Stormwater Management was already working on a flood control project for Armstrong Park and restoration activities for Klein Creek as identified in the Klein Creek Watershed Addendum No.2 for Inclusion in the West Branch DuPage River Interim Watershed Plan. This project will consist of a two reservoir system operating when Klein Creek experiences increased water elevations in order to bypass the majority of the Armstrong Park neighborhood. Reservoir One will take in water directly from Klein Creek and once it reaches a specific elevation, water will be pumped into Reservoir Two—a much larger storage reservoir. The design for this project is complete, which is important considering the timeline for expenditure of these grant funds and the length of time it takes to construct infrastructure projects. This area has experienced repetitive flooding and has been specifically impacted by the following storm events: August, 2007; September, 2008; July 2010; and April, 2013. The majority of the residents that currently reside in the floodplain in this neighborhood have been listed impacted and/or displaced by all four of these storm events.

DuPage Community Development is currently completing the Environmental Review for this project and it is out to bid meeting all Federal requirements. Should the project begin before the receipt of the agreement for this grant from the U.S. Department of Housing and Urban Development, no actions will have been taken that would prevent utilizing this grant as a source of funds to complete this project.

Municipalities will also have opportunity to apply for the Municipal Match Program for infrastructure recovery needs or match for municipal buyouts. The following were identified as recovery needs in addition to the Armstrong Park project:

Bloomington - Closure of major routes and several street -need repair of culvert on Springbrook; new culvert needed for Salt Creek (currently in DuPage County Watershed plan)

Glen Ellyn - Lake Ellyn outlet control structure and connection between Joseph SamPerry Preserve and Perry's Pond

Winfield -Winfield Watershed Plan

Various municipalities also reported potential damage to road/bridge systems, water control facilities, buildings and equipment and utility systems. Recove

## **Recovery Needs:**

ry needs will continue to become more clear as the grant progresses.

No recovery needs for economic development have been identified.

**Actions to Affirmatively Further Fair Housing:**

DuPage County has a current Analysis of Impediments (AI) to Fair Housing, which was submitted to the U.S. Department of Housing and Urban Development's Office of Fair Housing and Equal Opportunity in July, 2010. DuPage County will be updating this AI in conjunction with the preparation of its new Consolidated Plan for 2015. The County Board Chairman (DuPage County Authorized Official) has submitted certifications to receive CDBG-DR funds that DuPage County certifies that "it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within its jurisdiction and take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain record reflecting the analysis and actions in this regard ..." DuPage County has a geographic distribution policy for production of new units of housing to ensure new housing is not sited in areas of high concentrations of poverty or minorities. DuPage County tracks first time homebuyer assistance to ensure that homebuyers are assisted in a wide range of locations across the County. DuPage County funds many projects to provide housing for persons with disabilities. DuPage County also has a contract with HOPE Fair Housing to educate, test, and follow through on fair housing injustices pertaining to all protected classes.

Although CDBG-DR funds are specific to areas and households that were affected by the flood events of April, 2013, DuPage County will administer the funds to affirmatively further fair housing in any way applicable.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$12,445,000.00
Total Budget	\$2,150,000.00	\$12,445,000.00
Total Obligated	\$1,100,000.00	\$11,395,000.00
Total Funds Drawdown	\$1,074,245.56	\$8,195,122.62
Program Funds Drawdown	\$1,074,245.56	\$8,195,122.62
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,074,245.56	\$8,195,122.62
Match Contributed	\$0.00	\$0.00

## Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		100.00%
Overall Benefit Percentage (Actual)		100.00%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$4,728,900.00	\$0.00
Limit on Admin/Planning	\$6,305,200.00	\$161,766.62
Limit on State Admin	\$0.00	\$161,766.62

## Progress Toward Activity Type Targets

## Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$15,763,000.00	\$12,060,000.00

## Overall Progress Narrative:

During the quarter, a total of \$1,250,311.46 was drawn. \$56,912.06 were Administrative draws and \$1,193,399.40 were draws relating to the Warrentville Restoration project which is near completion.

## Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
RD01- ADMIN, Administration	\$0.00	\$35,000.00	\$35,000.00
RD01-INFRASTRUCTURE, Infrastructure	\$0.00	\$1,465,000.00	\$1,465,000.00
RD02-ADMIN, Administration 2	\$0.00	\$50,000.00	\$50,000.00
RD02-INFRASTRUCTURE, Infrastructure 2	\$0.00	\$2,930,000.00	\$2,930,000.00
RD03-ADMIN, Administration 3	\$30,145.44	\$50,000.00	\$50,000.00

RD03-HOUSING, Housing 3	\$0.00	\$1,120,000.00	\$0.00
RD03-INFRASTRUCTURE, Infrastructure 3	\$0.00	\$2,465,000.00	\$2,465,000.00
RD04-INFRASTRUCTURE, Infrastructure 4	\$17,333.50	\$2,700,000.00	\$173,356.00
RD05 - HOUSING, Housing 5	\$0.00	\$900,000.00	\$0.00
RD05-ADMIN, Administration 5	\$26,766.62	\$100,000.00	\$26,766.62
RD05-INFRASTRUCTURE, Infrastructure 5	\$1,000,000.00	\$1,000,000.00	\$1,000,000.00
RD05-PLANNING, Planning 5	\$0.00	\$150,000.00	\$0.00

# Activities

**Project # / Title:** RD03-ADMIN / Administration 3

**Grantee Activity Number:** RD03-Admin-DuPage County  
**Activity Title:** Administration

**Activity Category:**

Administration

**Activity Status:**

Completed

**Project Number:**

RD03-ADMIN

**Project Title:**

Administration 3

**Projected Start Date:**

08/01/2014

**Projected End Date:**

09/29/2019

**Benefit Type:**

( )

**Completed Activity Actual End Date:**

**National Objective:**

N/A

**Responsible Organization:**

DuPage County Community Development

Overall	Oct 1 thru Dec 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$50,000.00
Total Budget	\$0.00	\$50,000.00
Total Obligated	\$0.00	\$50,000.00
Total Funds Drawdown	\$30,145.44	\$50,000.00
Program Funds Drawdown	\$30,145.44	\$50,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$30,145.44	\$50,000.00
DuPage County Community Development	\$30,145.44	\$50,000.00
Match Contributed	\$0.00	\$0.00

**Activity Description:**

Adminstration of this activity is being carried out by employees of more than one department. This includes Community Development Commission, Stormwater Mgmt and Purchasing/Finance/Procurement.

**Location Description:**

421 N County Farm Road, Wheaton, IL 60187

**Activity Progress Narrative:**

Expenses included salaries, benefits, appraisals and auditing fees for the quarter.

**Accomplishments Performance Measures**

No Accomplishments Performance Measures

**Beneficiaries Performance Measures**

No Beneficiaries Performance Measures found.

**Activity Locations**

No Activity Locations found.

**Other Funding Sources Budgeted - Detail**

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

**Activity Supporting Documents**

Document -13-2-Contract-Reporting- .xlsx

**Project # / Title: RD03-INFRASTRUCTURE / Infrastructure 3**

**Grantee Activity Number:** RD03a-Infrastructure- Warrenville  
**Activity Title:** DR 06 Warrenville West Branch River Restoration

**Activity Category:**  
Construction/reconstruction of water/sewer lines or systems

**Project Number:**  
RD03-INFRASTRUCTURE

**Projected Start Date:**  
06/01/2015

**Benefit Type:**  
Area ( )

**National Objective:**  
Low/Mod

**Activity Status:**  
Under Way

**Project Title:**  
Infrastructure 3

**Projected End Date:**  
08/31/2016

**Completed Activity Actual End Date:**

**Responsible Organization:**  
DuPage County

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2015</b>	<b>To Date</b>
Total Projected Budget from All Sources	N/A	\$350,000.00

<b>Total Budget</b>	\$0.00	\$350,000.00
<b>Total Obligated</b>	\$0.00	\$350,000.00
<b>Total Funds Drawdown</b>	\$0.00	\$350,000.00
<b>Program Funds Drawdown</b>	\$0.00	\$350,000.00
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$0.00	\$350,000.00
DuPage County	\$0.00	\$350,000.00
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

To construct flood protection improvements (flood control beams) to increase the level of protection for structures located within or near the identified flood prone areas, improve water quality within the main stem through riparian enhancement fo the overbanks and substrate, remove nonnative invasive species, establish the native vegetation, streambank stablization, remove non-appropriate useds from the floodway, and re-meander a portion of the river which will modify the floodway location and the flood profile.

**Location Description:**

This project is located near the intersection of Warrenville and River Roads located in Warrenville, IL. The CT for this Activity was not available in the DRGR system. The CT is 8416.06 and the BG is 01. The LM% is 48.3.

**Activity Progress Narrative:**

**Accomplishments Performance Measures**

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1

**Beneficiaries Performance Measures**

**No Beneficiaries Performance Measures found.**

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

Other Funding Sources  
 No Other Funding Sources Found  
 Total Other Funding Sources

Amount

**Project # / Title: RD04-INFRASTRUCTURE / Infrastructure 4**

**Grantee Activity Number: RD04a-Infrastructure-Construction**  
**Activity Title: Warrenville-West Branch River DR 06**

**Activity Category:**  
 Construction/reconstruction of water/sewer lines or systems

**Activity Status:**  
 Under Way

**Project Number:**  
 RD04-INFRASTRUCTURE

**Project Title:**  
 Infrastructure 4

**Projected Start Date:**  
 05/01/2015

**Projected End Date:**  
 09/29/2019

**Benefit Type:**  
 Area ( )

**Completed Activity Actual End Date:**

**National Objective:**  
 Low/Mod

**Responsible Organization:**  
 DuPage County

Overall	Oct 1 thru Dec 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$50,000.00
Total Budget	\$0.00	\$50,000.00
Total Obligated	\$0.00	\$50,000.00
Total Funds Drawdown	\$17,333.50	\$50,000.00
Program Funds Drawdown	\$17,333.50	\$50,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$17,333.50	\$50,000.00
DuPage County	\$17,333.50	\$50,000.00
Match Contributed	\$0.00	\$0.00

**Activity Description:**

To construct flood protection improvements (flood control berms) to increase the level of protection for structures located within or near the identified flood prone areas, improve water quality within the main stem through riparian enhancement of the overbanks and substrate, remove nonnative invasive species, establish native vegetation, streambank stabilization, remove non-appropriate uses from the floodway, and re-meander a portion of the river which will modify the floodway location and flood profile.

**Location Description:**

Located near the intersection of Warrenville and River Roads in Warrenville, IL. The Census Tract for this Activity is not available in DRGR. The CT is 8416.06; the BG is 1 and the LMI% is 48.3.

### Activity Progress Narrative:

Funds were expended for Warrenville West Branch Flood Control in the prior quarter, but were drawn in the current quarter. The remainder (\$230,346.50) is recorded on this report in Round 5 Infrastructure. Spreadsheet attached under Supporting Documents for itemized breakdown of invoices paid.

### Accomplishments Performance Measures

No Accomplishments Performance Measures

### Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

### Activity Locations

No Activity Locations found.

### Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

### Activity Supporting Documents

Document Earthwerks -Warrenville W B Fld Cntrl 1-14-15.xlsx

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### Project # / Title: RD05-ADMIN / Administration 5

**Grantee Activity Number:** RD05-Admin-DuPage County  
**Activity Title:** Administration 5

**Activity Category:**

Administration

**Project Number:**

RD05-ADMIN

**Projected Start Date:**

09/22/2015

**Benefit Type:**

( )

**National Objective:**

N/A

**Activity Status:**

Under Way

**Project Title:**

Administration 5

**Projected End Date:**

09/22/2019

**Completed Activity Actual End Date:**

**Responsible Organization:**

DuPage County

<b>Overall</b>	<b>Oct 1 thru Dec 31, 2015</b>	<b>To Date</b>
<b>Total Projected Budget from All Sources</b>	N/A	\$100,000.00
<b>Total Budget</b>	\$100,000.00	\$100,000.00
<b>Total Obligated</b>	\$100,000.00	\$100,000.00
<b>Total Funds Drawdown</b>	\$26,766.62	\$26,766.62
<b>Program Funds Drawdown</b>	\$26,766.62	\$26,766.62
<b>Program Income Drawdown</b>	\$0.00	\$0.00
<b>Program Income Received</b>	\$0.00	\$0.00
<b>Total Funds Expended</b>	\$26,766.62	\$26,766.62
DuPage County	\$26,766.62	\$26,766.62
<b>Match Contributed</b>	\$0.00	\$0.00

**Activity Description:**

Funding for salaries, benefits, auditing fees, indirects and contractors carrying out income qualifying for potential residential buyouts.

**Location Description:**

421 N County Farm Road

**Activity Progress Narrative:**

Salaries and benefits for staff. Also includes appraisal fees for future buyouts and contractual fees to handle various tasks associated with buyouts and other activities.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures**

**Beneficiaries Performance Measures**

**No Beneficiaries Performance Measures found.**

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

<b>Other Funding Sources</b>	<b>Amount</b>
No Other Funding Sources Found	
Total Other Funding Sources	

**Project # / Title: RD05-INFRASTRUCTURE / Infrastructure 5**

**Grantee Activity Number: RD05a-Infrastructure/Construction**  
**Activity Title: Warrenville DR 06 River Restoration**

**Activity Category:**  
 Construction/reconstruction of water/sewer lines or systems

**Activity Status:**  
 Under Way

**Project Number:**  
 RD05-INFRASTRUCTURE

**Project Title:**  
 Infrastructure 5

**Projected Start Date:**  
 06/18/2014

**Projected End Date:**  
 09/29/2019

**Benefit Type:**  
 Area ( )

**Completed Activity Actual End Date:**

**National Objective:**  
 Low/Mod

**Responsible Organization:**  
 DuPage County

Overall	Oct 1 thru Dec 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,000,000.00
Total Budget	\$1,000,000.00	\$1,000,000.00
Total Obligated	\$1,000,000.00	\$1,000,000.00
Total Funds Drawdown	\$1,000,000.00	\$1,000,000.00
Program Funds Drawdown	\$1,000,000.00	\$1,000,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,000,000.00	\$1,000,000.00
DuPage County	\$1,000,000.00	\$1,000,000.00
Match Contributed	\$0.00	\$0.00

**Activity Description:**

To construct flood protection improvements (flood control beams) to increase the level of protection for structures located within or near the identified flood prone areas, improve water quality within the main stem through riparian enhancement of the overbanks and substrate, remove nonnative invasive species, establish the native vegetation, streambank stabilization, remove non-appropriate uses from the floodway, and re-meander a portion of the river which will modify the floodway location and the flood profile.

**Location Description:**

This activity is located near the intersection of Warrenville and River Roads located in Warrenville, IL.

**Activity Progress Narrative:**

Funds expended include; \$230,346.50 from prior quarter expense. Current quarter expense includes \$769,653.50 which is a partial expenditure (draw) for the total invoice of \$1,193,399.40. The remainder of \$423,745.90 will be paid out of Round 6 funds and drawn in the quarter end 3/31/16. Spreadsheet attached that breaks down the expenses paid.

**Accomplishments Performance Measures**

**No Accomplishments Performance Measures**

**Beneficiaries Performance Measures**

**No Beneficiaries Performance Measures found.**

**Activity Locations**

**No Activity Locations found.**

**Other Funding Sources Budgeted - Detail**

**No Other Match Funding Sources Found**

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

**Activity Supporting Documents**

Document      Earthwerks -Warrenville W B Fld Cntrl 1-14-15.xlsx

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