

Grantee: DuPage County , IL

Grant: B-13-US-17-0002

April 1, 2016 thru June 30, 2016 Performance Report



Grant Number:

B-13-US-17-0002

Obligation Date:**Award Date:****Grantee Name:**

DuPage County , IL

Contract End Date:**Review by HUD:**

Original - In Progress

Grant Award Amount:

\$31,526,000.00

Grant Status:

Active

QPR Contact:

No QPR Contact Found

LOCCS Authorized Amount:

\$18,265,000.00

Estimated PI/RL Funds:**Total Budget:**

\$31,526,000.00

Disasters:**Declaration Number**

FEMA-4116-IL

Narratives**Disaster Damage:**

DuPage County, Illinois is required to publish an Action Plan for the purpose of receiving a Community Development Block Grant-Disaster Recovery Grant (CDBG-DR) in the amount of \$7,000,000 in accordance with the Disaster Relief Appropriations Act, 2013 (Public Law 113-2). This grant is being made available through the U.S. Department of Housing and Urban Development (HUD) to assist disaster recovery efforts in response to declared major disasters occurring in DuPage County in 2013. These funds can be used only for specific disaster recovery-related purposes. The HUD guidance for the funding was published December 16, 2013 in the Federal Register as Docket No. FR-5696-N-07 (the Notice) with an effective date of December 23, 2013. At least 50% of the funds must be expended under the low-mod national objective. The national objective will be identified at the activity level.

In April of 2013, DuPage County, IL experienced wide-spread flooding. A needs assessment was conducted to determine the impact of the disaster on three core aspects of the community: housing, infrastructure and economic development. By far, the greatest damage was sustained in the housing segment. More than 7,000 residential units reported flood damage, as documented through municipal reporting. A shelter was set up at Benedictine University to handle residents facing evacuation. For many residents, this flooding was a repetitive flood event.

Many municipalities reported flooding of roads and temporary failure of infrastructure (storm drainage and sanitary systems). Four municipalities sustained damage that was beyond temporary.

Only temporary business closures were sustained and there has been no indication of unmet needs for recovery of the economic sector.

Please visit www.dupageco.org and follow the quick link for CDBG Disaster Recovery for complete information on this grant.

Recovery Needs:

A needs assessment to understand the type and location of community needs was conducted so that limited resources can be targeted to areas with the greatest need. Three core aspects of recovery were evaluated: housing, infrastructure and economic development. The needs assessment was conducted through: review of Disaster Impact Assessment Forms submitted to the Illinois Emergency Management Agency and follow-up; surveying providers of affordable housing on the mailing list of the DuPage County Community Development Commission; surveying members of the DuPage County Continuum of Care; consultation with the Red Cross; discussion with the Community Development Commission; and consultation with the DuPage County Office of Emergency Management.

Housing needs: Information on the following types of housing was obtained:

Emergency shelters

Interim and Permanent

Owner and Rental

Single family and multi-family

Public Housing; DuPage County has no public housing.

HUD-assisted

Affordable

Market rate

Providers of specific emergency shelters, interim housing, permanent supportive housing, affordable rental housing and HUD-assisted housing reported minimal impact from the flooding event and no indication of any impediment to recovery or unmet



need. DuPage County has no public housing.

Housing needs: Strategic Buy-Out Program. In many neighborhoods, there is little that can be done as a permanent solution to stop the constant flooding. DuPage County Stormwater has been managing a buy-out program since 1991. More than 100 homes have been bought out through the program. It is a voluntary program in which structures not protected by capital improvements projects in approved watershed plans may be eligible for buyout by DuPage County if the structure is subject to flooding depth of one foot above the low entry elevation for any one historic event, subject to a flooding depth of one-half foot for any two historic flood events, or a FEMA repetitive loss structure. The County has identified more than 223 homes that meet the criteria for buyout. In 2011, FEMA reported an additional 87 repetitive loss structures in DuPage County. This grant will provide funding to buy-out additional houses that were impacted in this event.

Rehabilitation/Mold Remediation. One lesson learned from our administration of the Illinois Disaster Assistance Program (IDAP) in 2010 was the length of time the effects of a flooding event can linger, especially for low-income and elderly households that may not have the resources and/or physical ability to deal with the aftermath. The IDAP funds were received nearly two years after the flooding event to which they were connected, yet many residents still had unmet need for mold remediation and/or repair. This grant will provide assistance to approximately 60 households.

Infrastructure:

DuPage County has six watershed planning areas: Salt Creek, East Branch DuPage River, West Branch DuPage River, all Creek, Des Plaines River Tributaries and Fox River Tributaries. These watershed plans are used to identify potential improvement projects to alleviate significant natural areas, storage areas and wetlands; and revise floodplain maps. These areas have documented flood damages and water impairments requiring capital measures to address the flooding and water quality issues. Regional stormwater management projects are considered for County funding and implementation if a problem area meets the regional criteria—meaning the source or the solution to flooding involves multiple jurisdictions. Watershed models are used to analyze possible alternatives, which are then presented to the Stormwater Management Committee and County Board in the form of a watershed plan or flood control plan. The plans include alternative solutions addressing all reported and projected flood damages, including capital improvements, voluntary buyouts, erosion control, water quality enhancements and flood proofing.

DuPage County proposes to allocate funding to one of the identified infrastructure needs, which is the Klein Creek Watershed Flood Control Plan/Armstrong Park Facility. This area has been repeatedly affected by flooding, DuPage County Stormwater Management was already working on a flood control project for Armstrong Park and restoration activities for Klein Creek as identified in the Klein Creek Watershed Addendum No.2 for Inclusion in the West Branch DuPage River Interim Watershed Plan. This project will consist of a two reservoir system operating when Klein Creek experiences increased water elevations in order to bypass the majority of the Armstrong Park neighborhood. Reservoir One will take in water directly from Klein Creek and once it reaches a specific elevation, water will be pumped into Reservoir Two—a much larger storage reservoir. The design for this project is complete, which is important considering the timeline for expenditure of these grant funds and the length of time it takes to construct infrastructure projects. This area has experienced repetitive flooding and has been specifically impacted by the following storm events: August, 2007; September, 2008; July 2010; and April, 2013. The majority of the residents that currently reside in the floodplain in this neighborhood have been listed impacted and/or displaced by all four of these storm events.

DuPage Community Development is currently completing the Environmental Review for this project and it is out to bid meeting all Federal requirements. Should the project begin before the receipt of the agreement for this grant from the U.S. Department of Housing and Urban Development, no actions will have been taken that would prevent utilizing this grant as a source of funds to complete this project.

Municipalities will also have opportunity to apply for the Municipal Match Program for infrastructure recovery needs or match for municipal buyouts. The following were identified as recovery needs in addition to the Armstrong Park project:

Bloomington - Closure of major routes and several street -need repair of culvert on Springbrook; new culvert needed for Salt Creek (currently in DuPage County Watershed plan)

Glen Ellyn - Lake Ellyn outlet control structure and connection between Joseph SamPerry Preserve and Perry's Pond

Winfield -Winfield Watershed Plan

Various municipalities also reported potential damage to road/bridge systems, water control facilities, buildings and equipment and utility systems. Recovery needs will continue to become more clear as the grant progresses.

No recovery needs for economic development have been identified.

Actions to Affirmatively Further Fair Housing:

DuPage County has a current Analysis of Impediments (AI) to Fair Housing, which was submitted to the U.S. Department of Housing and Urban Development's Office of Fair Housing and Equal Opportunity in July, 2010. DuPage County will be updating this AI in conjunction with the preparation of its new Consolidated Plan for 2015. The County Board Chairman (DuPage County Authorized Official) has submitted certifications to receive CDBG-DR funds that DuPage County certifies that "it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within its jurisdiction and take appropriate actions to overcome the effects of any impediments identified through that analysis, and maintain record reflecting the analysis and actions in this regard ..." DuPage County has a geographic distribution policy for production of new units of housing to ensure new housing is not sited in areas of high concentrations of poverty or minorities. DuPage County tracks first time homebuyer assistance to ensure that homebuyers are assisted in a wide range of locations across the County. DuPage County funds many projects to provide housing for persons with disabilities. DuPage County also has a contract with HOPE Fair Housing to educate, test, and follow through on fair housing injustices pertaining to all protected classes.

Although CDBG-DR funds are specific to areas and households that were affected by the flood events of April, 2013, DuPage County will administer the funds to affirmatively further fair housing in any way applicable.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$16,265,000.00
Total Budget	\$0.00	\$16,265,000.00
Total Obligated	\$0.00	\$13,345,000.00



Total Funds Drawdown	\$232,089.85	\$11,514,113.93
Program Funds Drawdown	\$232,089.85	\$11,514,113.93
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$412,204.56	\$11,700,731.91
Match Contributed	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		100.00%
Overall Benefit Percentage (Actual)		100.00%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$4,728,900.00	\$0.00
Limit on Admin/Planning	\$6,305,200.00	\$413,640.64
Limit on State Admin	\$0.00	\$241,106.44

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

National Objective	Target	Actual
Low/Mod	\$15,763,000.00	\$15,580,000.00

Overall Progress Narrative:

During the current quarter, work continued on Armstrong Park in Carol Stream and work began on the Springbrook Creek Culvert Project in Bloomingdale. Buyouts processes associated with the FEMA (HMGP match) have begun. Expenditures in Admin, (Round 6) are greater than draws due to VR #317846 which was drawn in June but not released until July 1st in LOCCS. Also includes expenditures (not yet drawn) for Springbrook Culvert of \$163,342.67.

Details for the expenditures for both Armstrong Park and Springbrook Culvert are attached Round 5 of Admin. The PL 113s for both of these activities are also attached in Round 5 of Admin.

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	(\$11,265,000.00)	\$0.00
RD01- ADMIN, Administration	\$0.00	\$35,000.00	\$35,000.00
RD01-HOUSING, Housing	\$0.00	\$0.00	\$0.00
RD01-INFRASTRUCTURE, Infrastructure	\$0.00	\$1,465,000.00	\$1,465,000.00



RD01-PLANNING, Planning 1	\$0.00	\$0.00	\$0.00
RD02-ADMIN, Administration 2	\$0.00	\$50,000.00	\$50,000.00
RD02-HOUSING, Housing 2	\$0.00	\$0.00	\$0.00
RD02-INFRASTRUCTURE, Infrastructure 2	\$0.00	\$2,930,000.00	\$2,930,000.00
RD03-ADMIN, Administration 3	\$0.00	\$50,000.00	\$50,000.00
RD03-HOUSING, Housing 3	\$0.00	\$1,120,000.00	\$0.00
RD03-INFRASTRUCTURE, Infrastructure 3	\$0.00	\$2,465,000.00	\$2,465,000.00
RD04-INFRASTRUCTURE, Infrastructure 4	\$209,420.57	\$2,700,000.00	\$2,693,370.09
RD05 - HOUSING, Housing 5	\$0.00	\$900,000.00	\$0.00
RD05-ADMIN, Administration 5	\$16,562.84	\$100,000.00	\$100,000.00
RD05-INFRASTRUCTURE, Infrastructure 5	\$0.00	\$1,000,000.00	\$1,000,000.00
RD05-PLANNING, Planning 5	\$0.00	\$150,000.00	\$150,000.00
RD06-ADMIN, Administration 6	\$6,106.44	\$100,000.00	\$6,106.44
RD06-HOUSING, Housing 6	\$0.00	\$2,000,000.00	\$0.00
RD06-INFRASTRUCTURE, Infrastructure 6	\$0.00	\$2,000,000.00	\$547,103.20
RD06-PLANNING, Planning 6	\$0.00	\$200,000.00	\$22,534.20



Activities

Project # / Title: RD04-INFRASTRUCTURE / Infrastructure 4

Grantee Activity Number: RD04-Infrastructure/Construction
Activity Title: Armstrong Park

Activity Category: Construction/reconstruction of water/sewer lines or systems	Activity Status: Under Way
Project Number: RD04-INFRASTRUCTURE	Project Title: Infrastructure 4
Projected Start Date: 06/18/2014	Projected End Date: 09/29/2019
Benefit Type: Area Benefit (Census)	Completed Activity Actual End Date:
National Objective: Low/Mod	Responsible Organization: DuPage County

Overall	Apr 1 thru Jun 30, 2016	To Date
Total Projected Budget from All Sources	N/A	\$2,650,000.00
Total Budget	\$0.00	\$2,650,000.00
Total Obligated	\$0.00	\$2,650,000.00
Total Funds Drawdown	\$209,420.57	\$2,643,370.09
Program Funds Drawdown	\$209,420.57	\$2,643,370.09
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$209,420.57	\$2,643,370.09
DuPage County	\$209,420.57	\$2,643,370.09
Match Contributed	\$0.00	\$0.00

Activity Description:

Consists of a two reservoir system that will operate when Klein Creek experiences increased elevations. Reservoir 1, the gravity reservoir, will take in water directly from Klein Creek. Once the Gravity Reservoir reaches a specific elevation, the water from the gravity reservoir will pump into Reservoir #2, the Pump Storage Reservoir. This is a much larger reservoir. This will detain the majority of the water and release it via an underground siphon back into Klein Creek, bypassing the majority of the Armstrong Park neighborhood.

Location Description:

391 Illini Drive in Carol Stream, IL

Activity Progress Narrative:

99% of this activity has been completed. Work completed during the quarter included; a ventilation retrofit which included the installation of an 8" ductile iron pipe that will function as a vent; an open grate hatch was installed on



top of the pump station and manhole 11A frame was replaced including a bolted down lid.
Post construction start up services are expected to be completed during the summer.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	1/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: RD05-ADMIN / Administration 5

Grantee Activity Number: RD05-Admin-DuPage County
Activity Title: Administration 5

Activity Category:

Administration

Project Number:

RD05-ADMIN

Projected Start Date:

09/22/2015

Benefit Type:

N/A

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Administration 5

Projected End Date:

09/22/2019

Completed Activity Actual End Date:

Responsible Organization:

DuPage County



Overall	Apr 1 thru Jun 30, 2016	To Date
Total Projected Budget from All Sources	N/A	\$100,000.00
Total Budget	\$0.00	\$100,000.00
Total Obligated	\$0.00	\$100,000.00
Total Funds Drawdown	\$16,562.84	\$100,000.00
Program Funds Drawdown	\$16,562.84	\$100,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$10,059.57	\$100,000.00
DuPage County	\$10,059.57	\$100,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Funding for salaries, benefits, auditing fees, indirects and contractors carrying out income qualifying for potential residential buyouts.

Location Description:

421 N County Farm Road

Activity Progress Narrative:

Expenses included 3 payrolls, appraisals and environmental publications.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Activity Supporting Documents



Document Copy of Armstrong Prk - Itemized - RFP#5 - \$209420 57.xlsx
 Document Copy of Northwest Gen Contractors - RFP#1 itemized.xlsx
 Document P-L-113-2-Contract-Rprt RFP#5.xlsx APark63016.xlsx
 Document Springbrook PL-113-2-Contract-Rprt- Northwest Gen Contractors 1Q.xlsx

Project # / Title: RD05-INFRASTRUCTURE / Infrastructure 5

Grantee Activity Number: RD05-Infrastructure/Construction Springbrook

Activity Title: Bloomingdale DR 04 Springbrook Culvert

Activity Category: Construction/reconstruction of water/sewer lines or systems	Activity Status: Under Way
Project Number: RD05-INFRASTRUCTURE	Project Title: Infrastructure 5
Projected Start Date: 03/01/2016	Projected End Date: 08/30/2016
Benefit Type: Area Benefit (Census)	Completed Activity Actual End Date:
National Objective: Urgent Need	Responsible Organization: DuPage County

Overall	Apr 1 thru Jun 30, 2016	To Date
Total Projected Budget from All Sources	N/A	\$0.00
Total Budget	\$0.00	\$0.00
Total Obligated	\$0.00	\$0.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$163,342.67	\$163,342.67
DuPage County	\$163,342.67	\$163,342.67
Match Contributed	\$0.00	\$0.00

Activity Description:

To install a precast reinforced box culvert that will replace the much smaller existing culverts.

Location Description:

North of Forest Avenue, east of Bloomingdale Road in Bloomingdale IL.



Activity Progress Narrative:

Funds expended but not drawn. Work included the installation of 82.9 lineal feet of guardrail along the upstream and downstream face of the new culvert. The paving of a new roadway included 35 ton of binder course and 26 ton of surface course. Remaining work still to be completed includes restoration and the planting of shrubs within the project limit.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Project # / Title: RD06-ADMIN / Administration 6

Grantee Activity Number: RD06-Administration
Activity Title: Adminstration 6

Activity Category:

Administration

Project Number:

RD06-ADMIN

Projected Start Date:

06/18/2014

Benefit Type:

N/A

National Objective:

Activity Status:

Under Way

Project Title:

Administration 6

Projected End Date:

09/29/2019

Completed Activity Actual End Date:

Responsible Organization:



N/A

DuPage County

Overall	Apr 1 thru Jun 30, 2016	To Date
Total Projected Budget from All Sources	N/A	\$100,000.00
Total Budget	\$0.00	\$100,000.00
Total Obligated	\$0.00	\$100,000.00
Total Funds Drawdown	\$6,106.44	\$6,106.44
Program Funds Drawdown	\$6,106.44	\$6,106.44
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$29,381.75	\$29,381.75
DuPage County	\$29,381.75	\$29,381.75
Match Contributed	\$0.00	\$0.00

Activity Description:

Salaries, benefits for staff administering activity. Also funds contractual fees to handle various tasks associated with buyouts. Includes funding for appraisal fees and indirect costs.

Location Description:

421 N County Farm Road, Wheaton, IL

Activity Progress Narrative:

Expenses exceed draws by \$29,381.75. Voucher #312281 was drawn in June but actually paid by LOCCS in July. Expenses included 4 Payrolls, appraisal fees for buyouts and environment publications.

Accomplishments Performance Measures

No Accomplishments Performance Measures

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found



Other Funding Sources
No Other Funding Sources Found
Total Other Funding Sources

Amount

Monitoring, Audit, and Technical Assistance

Event Type	This Report Period	To Date
Monitoring, Audits, and Technical Assistance	0	6
Monitoring Visits	0	3
Audit Visits	0	0
Technical Assistance Visits	0	3
Monitoring/Technical Assistance Visits	0	0
Report/Letter Issued	0	0

